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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rosalind Herman et al.

Examiner:

Rachel L. Porter

Serial No.

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Title:

FOUNDATION FUNDS GENERATION SYSTEM AND METHOD

Attorney Docket No.: 60697-015 (NFRK-001)

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April 25, 2005

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RESPONSE NOTICE OF NON-COMPLIANT AMENDMENT

Introductory Comments

In response to the Notice of Non-compliant Amendment mailed March 24, 2005, provided herein below is a listing of all pending claims and their current status, in light of the Response to Restriction Requirement filed December 27, 2004.